

COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL,
DIVISIONAL, CONTINUATION OR CIP)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type: (check one)

- ☒ Original
☐ Supplemental
☐ Design

- ☐ National Stage PCT
☐ Divisional
☐ Continuation
☐ Continuation-in-Part (CIP)

INVENTORSHIP IDENTIFICATION

NOTE: If the inventors are each not the inventors of all the claims an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

USE OF ANGIOTENSIN II INHIBITORS TO PREVENT MALIGNANCIES ASSOCIATED WITH
IMMUNOSUPPRESSION

the specification of which: (complete (a), (b) or (c))

(a) ☐ is attached hereto.

(b) ☒ was filed on February 9, 2001 as

☒ Serial No. 09/781,146 or

☐ Express Mail No. _____, as Serial No. not yet known
and was amended on _____. (If applicable)

(c) ☐ was described and claimed in PCT International Application No. PCT/
filed on _____ and as amended under PCT Article 19 on _____. (If any)

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above, and that the filing of said specification, if heretofore filed, was authorized by me.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

CLAIM OF PRIORITY OF EARLIER FOREIGN APPLICATION(S) UNDER 35 U.S.C. §119(a)-(d)

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

(List prior foreign/PCT application(s) filed within 12 months (6 months for design) prior to this U.S. application.)

NOTE: Where item (c) is entered above and the International Application which designated the U.S. claimed priority check item (e), enter the details below and make the priority claim.

COUNTRY (orPCT)	APPLICATION NO.	DATE OF FILING (Day/Month/Year)	PRIORITY CLAIMED UNDER 35 USC §119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) UNDER 35 U.S.C. §119(e)

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

(List prior U.S. provisional applications.)

PROVISIONAL APPLICATION NO.	FILING DATE (Day/Month/Year)
60/181,485	February 10, 2000

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. 120

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

(List prior U.S. applications or PCT international applications designating the U.S. for benefit under 35 U.S.C. §120.)

U.S. APPLICATIONS

STATUS (Check One)

U.S. SERIAL NO.	U.S. FILING DATE (Day/Month/Year)	Patented	Pending	Abandoned
0 /		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
0 /		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

PCT APPLICATIONS DESIGNATING THE U.S.

STATUS (Check One)

PCT APPLN. NO.	PCT FILING DATE (Day/Month/Year)	U.S. SERIAL NOS ASSIGNED (If any)	Patented	Pending	Abandoned
PCT/			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PCT/			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

35 USC 119 PRIORITY CLAIM, IF ANY, FOR ABOVE LISTED U.S./PCT APPLICATIONS

PRIORITY APPLICATION NO.	PRIORITY COUNTRY	FILING DATE (Day/Month/Year)	ISSUE DATE (Day/Month/Year)

POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office in connection therewith:

Charles R. Hoffmann, Reg. No. 24,102; Ronald J. Baron, Reg. No. 29,281; Alan M. Sack, Reg. No. 31,874; A. Thomas Kammer, Reg. No. 28,226; R. Glenn Schroeder, Reg. No. 34,720; Glenn T. Henneberger, Reg. No. 36,074; Irving N. Feit, Reg. No. 28,601; Anthony E. Bennett, Reg. No. 40,910; Gregory W. Bachmann, Reg. No. 41,593; Steven T. Zuschlag, Reg. No. 43,309; Susan A. Sipos, Reg. No. 43,128; James F. Harrington, Reg. No. 44,741; Kevin E. McDermott, Reg. No. 35,946; Rod S. Turner, Reg. No. 38,639; Robert C. Morriss, Reg. No. 42,910; Algis Anilionis, Reg. No. 36,995; Justin K. Holmes, Reg. No. 42,666; Lauren T. Emr, Reg. No. 46,139; Edna I. Gergel, Reg. No. 50,819; Velly B. Polycarpe, Reg. No. 48,856, and Eric P. Halber, Reg. No. 46,378 each of them of HOFFMANN & BARON, LLP, 6900 Jericho Turnpike, Syosset, New York 11791; and Daniel A. Scola, Jr., Reg. No. 29,855; Salvatore J. Abbruzzese, Reg. No. 30,152; John Sopko, Reg. No. 41,321; Gloria K. Szakiel, Reg. No. 45,149; Mark E. Baron, Reg. No. 46,150; Ludomir A. Budzyn, Reg. No. 40,540; Christina L. Warrick, Reg. No. 45,690; Linda T. Parker, Reg. No. 46,046; Robert M. Rodrick, Reg. No. 27,086; Rohini K. Garg, Reg. No. 45,272; Joy I. Farber, Reg. No. 44,103; Anna-Lisa Gallo, Reg. No. 50,279 and Stephen Cannavale, Reg. No. 44,585, each of them of HOFFMANN & BARON, LLP, 1055 Parsippany Boulevard, Parsippany, New Jersey 07054.

PLEASE SEND CORRESPONDENCE TO:

Irving N. Felt, Esq.
HOFFMANN & BARON, LLP
8900 Jericho Turnpike
Syosset, NY 11791

PLEASE DIRECT TELEPHONE CALLS TO:

Algis Anilionis, Ph.D.
(516) 822-3560

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

Full Name of Sole or First Inventor:	Dr. Manikkam Suthanthiran
Country of Citizenship:	U.S.A.
Residence Address:	8 Uxbridge Road, Scarsdale, New York 10583
Post Office Address:	same as above
Date:	Inventor's signature
Full Name of Second Joint Inventor:	Dr. Mary Malucco
Country of Citizenship:	U.S.A.
Residence Address:	1233 York Avenue, New York, New York 10021
Post Office Address:	same as above
Date:	Inventor's signature

NOTE: All above spaces identifying inventors must be completed or deleted before any inventor executes this application

Attorney's Docket No. 955-10P/CON

PATENT

COMBINED DECLARATION AND POWER OF ATTORNEY**(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL,
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As a below named inventor, I hereby declare that:

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the specification of which: *(complete (a), (b) or (c))*

- (a) ☐ is attached hereto.
- (b) ☒ was filed on February 9, 2001 as
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PROVISIONAL APPLICATION NO.	FILING DATE (Day/Month/Year)
60/181,485	February 10, 2000

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(List prior U.S. applications or PCT International applications designating the U.S. for benefit under 35 U.S.C. §120.)

U.S. APPLICATIONS

STATUS (Check One)

U.S. SERIAL NO.	U.S. FILING DATE (Day/Month/Year)	Patented	Pending	Abandoned
0 /		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
0 /		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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STATUS (Check One)

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PCT/			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PCT/			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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PLEASE SEND CORRESPONDENCE TO:

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HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, NY 11791

PLEASE DIRECT TELEPHONE CALLS TO:

Algis Anilionis, Ph.D.
(516) 822-3550

DECLARATION

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SIGNATURE(S)

Full Name of Sole or First Inventor: Dr. Manikkam Suthanthiran

Country of Citizenship: U.S.A.

Residence Address: 6 Uxbridge Road, Scarsdale, New York 10583

Post Office Address: same as above

Date: 3/12/03 Inventor's signature

Manikkam

Full Name of Second Joint Inventor: Dr. Mary Maluccio

Country of Citizenship: U.S.A.

Residence Address: 1233 York Avenue, New York, New York 10021

Post Office Address: same as above

Date: Inventor's signature

NOTE: All above spaces identifying inventors must be completed or deleted before any inventor executes this application



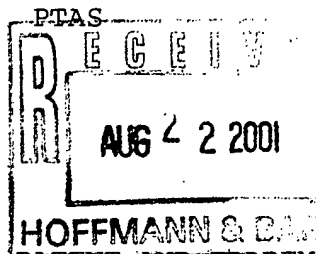
UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 17, 2001

HOFFMANN & BARON, LLP
ALGIS ANILIONIS, PH.D.
6900 JERICHO TURNPIKE
SYOSSET, NY 11791



101744737A

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/07/2001

REEL/FRAME: 011865/0523
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SUTHANTHIRAN, MANIKKAM, DR.

DOC DATE: 06/04/2001

ASSIGNEE:

CORNELL RESEARCH FOUNDATION, INC.
20 THORNWOOD DRIVE
SUITE 105
ITHACA, NEW YORK 14850

SERIAL NUMBER: 09781146
PATENT NUMBER:

FILING DATE: 02/09/2001
ISSUE DATE:

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

06-11-2001

Pat No.: 955-10 P/CON
EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101744737

Tab settings → → → ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Dr. Manikkam Suthanthiran

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 4, 2001

2. Name and address of receiving party(ies):

Name: Cornell Research Foundation, Inc.

Internal Address: Suite 105

Street Address: 20 Thornwood Drive

City: Ithaca State: NY ZIP: 14850

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: June 4, 2001

A. Patent Application No.(s)

(09/781,146)
60/181,485

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Algis Anilionis, Ph.D.

Internal Address: _____

Hoffmann & Baron, LLP

Street Address: 6900 Jericho Turnpike

City: Syosset State: NY ZIP: 11791

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number:

08-2461

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Algis Anilionis, Ph.D.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

5

Attorney's Docket No.: 955-10 P/CON

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Dr. Manikkam Suthanthiran

Name

6 Uxbridge Road

Address

Scarsdale, NY 10583U.S.A.

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

Cornell Research Foundation, Inc

(Type or print name of ASSIGNEE)

20 Thornwood Drive, Suite 105

Address

Ithaca, NY 14850USA

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

USE OF ANGIOTENSIN II INHIBITORS TO PREVENT MALIGNANCIES ASSOCIATED WITH
IMMUNOSUPPRESSION

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on
(c) ☒ U.S. provisional application naming the above inventor(s) for the above-identified invention.
 ☒ Serial No. 60/181,485, filed: February 10, 2000
 ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. application Serial No. 09/781,146, filed on February 9, 2001
(e) ☐ International application No. PCT/____/
(f) ☐ U.S. Patent No. _____ issued
 ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said

Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal on this day set forth below.

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X 6/04/01
Date of signing

X [Signature]
Manikkam Suthanthiran

(If ASSIGNOR is a legal entity, complete the following information)

Type or print the name of the above person
authorized to sign on behalf of ASSIGNOR

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

132051

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dr. M. Suthanthiran

Examiner: Unassigned

Confirmation No.: 7451

Group Art Unit: 1646

Serial No.: 09/781,146

Docket: 955-10 P/CON

Filed: February 9, 2001

Dated: June 5, 2001

For: USE OF ANGIOTENSIN II INHIBITORS
TO PREVENT MALIGNANCIES ASSOCIATED
WITH IMMUNOSUPPRESSION

Box Assignment

U.S. Patent and Trademark Office
Office of Public Records
Crystal Gateway 4, Room 335
Washington, DC 20231

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to: Assistant Commissioner for Patents, Washington, D.C.

20231 on June 5, 2001

Dated: 6/5/01 J. Bargain

REQUEST FOR RECORDATION OF ASSIGNMENT DOCUMENT

Sir:

Transmitted herewith is an executed Assignment document and Recordation Form Cover Sheet for Patents, PTO-1595, for the above-identified application. A check for the required recordation fee of \$40.00 is also enclosed. Please record the enclosed document accordingly and return them to the address set forth below once recordation has been completed.

If any additional fees are due, please charge our Deposit Account No. 08-2461 for such sum. A duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,



Algis Anilionis, Ph.D.

Registration No.: 36,995

Attorney for Applicant(s)

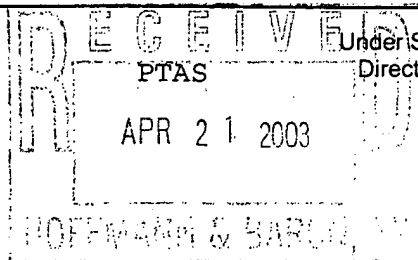
HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, New York 11791
(516) 822-3550
AA:jb



UNITED STATES
PATENT AND
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APRIL 15, 2003

HOFFMANN & BARON, LLP
IRVING N. FEIT
6900 JERICHO TURNPIKE
SUITE 200
SYOSSET, NY 11791



Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



102311879A

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NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/09/2002

REEL/FRAME: 013566/0177
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MALUCCIO, MARY

DOC DATE: 11/22/2002

ASSIGNEE:

CORNELL RESEARCH FOUNDATION, INC.
20 THORNWOOD DRIVE, SUITE 105
ITHACA, NEW YORK 14850

SERIAL NUMBER: 60181485
PATENT NUMBER:

FILING DATE: 02/10/2000
ISSUE DATE:

SERIAL NUMBER: 09781146
PATENT NUMBER:

FILING DATE: 02/09/2001
ISSUE DATE:

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



102311879B

AUTHORIZATION TO CHARGE ADDITIONAL FEES TO DEPOSIT ACCOUNT

APRIL 15, 2003

TO: OFFICE OF FINANCE
FROM: ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS
SUBJECT: DEPOSIT ACCOUNT CHARGE(S)

CHARGE THE DEPOSIT ACCOUNT LISTED BELOW ADDITIONAL FEE(S) AS INDICATED
BELOW. AUTHORIZATION TO CHARGE ADDITIONAL FEES HAS BEEN PROVIDED PER
THE ATTACHED COVER SHEET, OR BY DIRECT CONTACT WITH THE CUSTOMER.

DEPOSIT ACCOUNT NUMBER: 082461
PROPERTY NUMBER: 60181485

RECORDING FEES:

FEE CODE: 8021 AMOUNT: 40

IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT
THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

TRADEMARK SERVICE FEES:	FEE CODE:
RECORDING FIRST MARK	8521
RECORDATION SECOND AND SUBSEQUENT MARK IN SAME DOCUMENT	8522
LABOR CHARGES FOR SERVICES PER HOURS OR FRACTION THEREOF	8523
UNSPECIFIED OTHER SERVICES	8524

PATENT SERVICE FEES:

RECORDING EACH PATENT ASSIGNMENT,	8021
AGREEMENT, OTHER PAPER, PER PROPERTY	
LABOR CHARGES FOR SERVICES PER HOUR	8023
(\$30) OR FRACTION THEREOF	
UNSPECIFIED OTHER SERVICES	8024

12/9/02

FORM PTO-159L (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
P08/REV03



12-16-2002

102311879

Docket No.: 955-10 P/CON

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record original documents or copy thereof.

1. Name of conveying party(ies):
Dr. Mary Maluccio

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **November 22, 2002**

2. Name and address of receiving party(ies):

Name: **Cornell Research Foundation, Inc.**

Internal Address: _____

Street Address: **20 Thornwood Drive, Suite 105**City: **Ithaca** State: **NY** ZIP: **14850**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

60/181,485 **February 10, 2000**
09/781,146 **February 9, 2001**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Irving N. Feit**Internal Address: **Hoffmann & Baron, LLP****12/13/2002 TDIAZ1 00000141 082461 60181485****01 FC:8021 40.00 CH 40.00 OP**Street Address: **6900 Jericho Turnpike****Suite 200**City: **Syosset** State: **NY** ZIP: **11791**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41):.....\$ **40.00**

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number:

08-2461

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Algis Anilionis, Ph.D.

Name of Person Signing

Algis Anilionis

Signature

December 2, 2002

Date

Total number of pages including cover sheet, attachments, and document: **5**

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Dr. Mary Maluccio
Name

1233 York Avenue
Address

New York, New York 10021

U.S.A.
Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____ Reel _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

Cornell Research Foundation, Inc.
(Type or print name of ASSIGNEE)

20 Thornwood Drive, Suite 105
Address

Ithaca, New York 14850

U.S.A.
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

**USE OF ANGIOTENSIN II INHIBITORS TO PREVENT MALIGNANCIES
ASSOCIATED WITH IMMUNOSUPPRESSION**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☒ U.S. provisional application naming the above inventor(s) for the above-identified invention.
 ☒ Serial No.: 60/181,485, filed: February 10, 2000
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. application Serial No. 09/781,146 filed on February 9, 2001
(e) ☐ International application No. PCT/_____/_____
(f) ☐ U.S. Patent No. _____ issued _____
 ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.



IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

above. **WARNING:** Date of signing must be the same as the date of execution of the application if item (a) was checked

Date: X 11/22/02
Date of signing

X Mary Maluccio
Dr. Mary Maluccio

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

RECORDATION FORM COVER SHEET

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Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Dr. Mary Maluccio

2. Name and address of receiving party(ies):

Name: Cornell Research Foundation, Inc.

Internal Address: _____

Street Address: 20 Thornwood Drive, Suite 105City: Ithaca State: NY ZIP: 14850Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: November 22, 2002

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

60/181,485February 10, 200009/781,146February 9, 2001

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Irving N. FeitInternal Address: Hoffmann & Baron, LLPStreet Address: 6900 Jericho TurnpikeSuite 200City: Syosset State: NY ZIP: 117916. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

08-2461

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Algis Anilionis, Ph.D.

Name of Person Signing

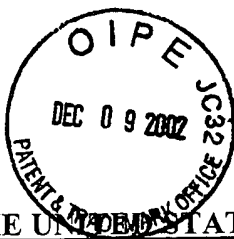
Algis Anilionis

Signature

December 2, 2002

Date

Total number of pages including cover sheet, attachments, and document: 5



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	Dr. M. Suthanthiran	Examiner:	Gary B. Nickol
Serial No.:	09/781,146	Group Art Unit:	1642
Confirmation No:	7451	Docket:	955-10 P/CON
Filed:	February 9, 2001	Dated:	December 2, 2002
For:	USE OF ANGIOTENSIN II INHIBITORS TO PREVENT MALIGNANCIES ASSOCIATED WITH IMMUNOSUPPRESSION		

Commissioner for Patents
Washington, DC 20231
Attn: Box Assignment

*I hereby certify this correspondence is being deposited
with the United States Postal Service as first class mail,
postpaid in an envelope, addressed to:
Assistant Commissioner for Patents,
Washington, D.C. 20231 on December 2, 2002*

Signature: *Nicole K. [illegible]*

REQUEST FOR RECORDATION OF ASSIGNMENT

Sir:

Transmitted herewith is an executed Assignment document and Recordation Form Cover Sheet, PTO-1595, for the above-identified application. A check for the required recordation fee of \$40.00 is also enclosed. Please record the enclosed documents accordingly and return them to the address set forth below once recordation has been completed.

If any additional fees are due or an overpayment has been made, please charge our Deposit Account No. 08-2461 or credit our deposit account for such sum. A duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

Algis Anilionis
Algis Anilionis, Ph.D.
Attorney for Applicant(s)
Registration No. 36,995

Hoffmann & Baron, LLP
6900 Jericho Turnpike
Syosset, NY 11791
(516) 822-3550
AA/nr



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	Dr. M. Suthanthiran	Examiner:	Gary B. Nickol
Serial No.:	09/781,146	Group Art Unit:	1642
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Commissioner for Patents
Washington, DC 20231
Attn: Box Assignment

*I hereby certify this correspondence is being deposited
with the United States Postal Service as first class mail,
postpaid in an envelope, addressed to:
Assistant Commissioner for Patents,
Washington, D.C. 20231 on December 2, 2002*
Signature: Nicole K. [Signature]

REQUEST FOR RECORDATION OF ASSIGNMENT

Sir:

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Respectfully submitted,

Algis Anilionis
Algis Anilionis, Ph.D.
Attorney for Applicant(s)
Registration No. 36,995

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